

Docket No. 291685US0X PCT



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Dagmara ORTMANN, et al.

SERIAL NO: 10/584,148

GAU:

FILED: June 22, 2006

EXAMINER:

FOR: METHOD FOR PRODUCING TRIVALENT ORGANOPHOSPHORUS COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Charles A. Wendel

Registration No. 24,453

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
291685US0X PCTSERIAL NO.
10/584,148

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Dagmara ORTMANN, et al.

FILING DATE
June 22, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,015,928	01/28/00	GUBISCH, et al.			
	AB	US 6,239,318 B1	05/29/01	SCHULER, et al.			
	AC	US 6,331,657 B1	12/18/01	KAIZIK, et al.			
	AD	US 6,407,295 B1	06/18/02	KAIZIK, et al.			
	AE	US 6,403,836 B2	06/11/02	KAIZIK, et al.			
	AF	US 6,482,992 B2	11/19/02	SCHOLZ, et al.			
	AG	US 6,680,414 B2	01/20/04	KNOOP, et al.			
	AH	US 6,627,782 B2	09/30/03	KAIZIK, et al.			
	AI	US 6,720,457 B2	04/13/04	DREES, et al.			
	AJ	US 2005/0182277 A1	08/18/05	TOTSCH, et al.			
	AK	US 6,960,699 B2	11/01/05	TOTSCH, et al.			
	AL	US 2006/0183936 A1	08/17/06	GRASS, et al.			
	AM	US 2005/0209489 A1	09/22/05	MOLLER, et al.			
	AN	US 2006/0128998 A1	06/15/06	LUEKEN, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 291685US0X PCT		SERIAL NO. 10/584,148	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Dagmara ORTMANN, et al.			
				FILING DATE June 22, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA	US 2006/0161017 A1	07/20/06	GRASS, et al.			
	ABA	US 2006/0036121 A1	02/16/06	KAIZIK, et al.			
	ACA	US 2006/0129004 A1	06/15/06	LUEKEN, et al.			
	ADA	US 6,184,424 B1	02/06/01	BUESCHKEN, et al.			
	AEA	US 6,555,716 B2	04/29/03	PROTZMANN, et al.			
	AFA	US 2005/0256281 A1	11/17/05	GRUND, et al.			
	AGA	US 6,492,564 B1	12/10/02	WIESE, et al.			
	AHA	US 2004/0238787 A1	12/02/04	WIESE, et al.			
	AIA	US 2004/0242947 A1	12/02/04	BELLER, et al.			
	AJA	US 2005/0209455 A1	09/22/05	BOERNER, et al.			
	AKA	US 2006/0089469 A1	04/27/06	KOMAROV, et al.			
	ALA	US 2005/0171371 A1	08/04/05	BORNER, et al.			
	AMA	5,093,534	03/03/92	LUDWIG, et al.			
	ANA	US 6,403,837 B1	06/11/02	HESS, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AOA						
	APA						
	AQA						
	ARA						
	ASA						
	ATA						
	AUA						
	AVA						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AWA						
	AXA						
	AYA						
	AZA					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
291685US0X PCTSERIAL NO.
10/584,148

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Dagmara ORTMANN, et al.FILING DATE
June 22, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAB	US 2003/0144559 A1	07/31/03	HESS, et al.			
	ABB	US 2003/0195368 A1	10/16/03	ROTTGER, et al.			
	ACB						
	ADB						
	AEB						
	AFB						
	AGB						
	AHB						
	AIB						
	AJB						
	AKB						
	ALB						
	AMB						
	ANB						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AOB					
	APB					
	AQB					
	ARB					
	ASB					
	ATB					
	AUB					
	AVB					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AWB	
	AXB	
	AYB	
	AZB	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



		Docket No.: 291685US0X PCT	Serial No.: 10/584,148
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56		Inventor: Dagmara ORTMANN, et al.	
		Filing Date: June 22, 2006	Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	0689-0722-0	6,015,928	01/18/00		GUBISCH, et al.
	9350-0094-0	6,239,318	05/29/01		SCHULER, et al.
	9350-0095-0	6,331,657	12/18/01		KAIZIK, et al.
	9350-0119-0	6,407,295	06/18/02		KAIZIK, et al.
	199151US0	6,403,836	06/11/02		KAIZIK, et al.
	204417US0	6,482,992	11/19/02		SCHOLZ, et al.
	215381US0	6,680,414	01/20/04		KNOOP, et al.
	218240US0	6,627,782	09/30/03		KAIZIK, et al.
	224342US0X	6,720,457	04/13/04		DREES, et al.
	257970US0XPCT	10/511,280	10/22/04	US2005/0182277 A1	TOTSCH, et al.
	258185US0	6,960,699	11/01/05		TOTSCH, et al.
	260382US0XPCT	10/511,595	11/02/04	US2006/0183936 A1	GRASS, et al.
	262605US0XPCT	10/519,557	12/28/04	US2005/0209489 A1	MOLLER, et al.
	275625US0X	11/291,858	12/02/05	US2006/0128998 A1	LUEKEN, et al.
	276902US0X	11/320,409	12/29/05	US2006/0161017 A1	GRASS, et al.
	271996US0XPCT	10/538,475	06/07/05	US2006/0036121 A1	KAIZIK, et al.

*Present Application; listed for information
NFO/sbs

275713US0	11/296,310	12/08/05	US2006/0129004 A1	LUEKEN, et al.
287498US0X	11/494,741	07/28/06		KAIZIK, et al.
282274US0XPCT	10/562,454	08/18/06		KRISSMANN, et al.
288322US0XPCT	10/576,302	04/19/06		KAIZIK, et al.
293518US0XPCT	10/588,762	08/08/06		WIESE, et al.
9350-0089-0	6,184,424	02/06/01		BUESCHKEN, et al.
196386US0	6,555,716	04/29/03		PROTZMANN, et al.
262019US0X	11/117,335	04/29/05	US2005/0256281 A1	GRUND, et al.
9350-0165-0	6,492,564	12/10/02		WIESE, et al.
248248US0XPCT	10/487,061	02/18/04	US2004/0238787 A1	WIESE, et al.
249176US0XPCT	10/490,038	03/19/04	US2004/0242947 A1	BELLER, et al.
291685US0XPCT*	10/584,148	06/22/06		ORTMANN et al.
257571US0XPCT	10/508,537	05/12/05	US2005/0209455 A1	BOERNER, et al.
261597US0XPCT	10/514,241	10/20/05	US2006/0089469 A1	KOMAROV, et al
PER CLIENT	10/968,458	10/20/04	US2005/0171371 A1	BORNER, et al.
0689-0317-0	5,093,534	03/03/92		LUDWIG, et al.
195628US0	6,403,837	06/11/02		HESS, et al.
195328US0	09/708,646	11/09/00		HESS, et al.
230617US0CONT	10/292,448	11/13/02	US2003/0144559 A1	HESS, et al.
231831US0XPCT	10/311,322	04/24/03	US2003/0195368 A1	ROTTGER, et al.
256426US0XPCT	10/505,879	09/03/04		BORGSMANN

Examiner

Date Considered